

This listing of claims will replace all prior versions, and listings, of claims in the application:

**Listing of Claims:**

**Please cancel claims 8 and 19 without prejudice or disclaimer.**

**Please amend claims 1, 10-12, 16-17 and 22 as follows:**

1. (Currently Amended) A computer implemented method for processing restricted securities trades via a communications network, the method comprising:

receiving data relating to restrictions associated with the trade of a security;

receiving electronic verification that the data is correct;

automatically generating documentation supportive of the trade wherein the generated documents include at least a legal opinion indicating whether or not the trade restrictions have been satisfied; and

receiving an electronic signature to execute the generated documents.

2. (Original) The method of claim 1 wherein the trade comprises a simple restricted sale.

3. (Original) The method of claim 1 additionally comprising the step of transmitting an instruction to execute the trade.

4. (Original) The method of claim 3 additionally comprising forwarding trade information to a clearing house.

5. (Original) The method of claim 1 wherein the data relating to the restrictions comprises a checklist of questions related to restrictions.

6. (Original) The method of claim 1 wherein the generated documentation comprises a seller's letter.

7. (Original) The method of claim 1 wherein the generated documentation comprises a broker's letter.

8. (Canceled)

9. (Original) The method of claim 1 additionally comprising the step of receiving edits to the generated documentation.

10. (Currently Amended) The method of claim 1, wherein the trade of a security is based on at least one physical restricted security, and the method additionally comprising also comprises the step of transmitting an instruction to a transfer agent to convert the at least one physical restricted securities security into an electronic format.

11. (Currently Amended) The method of claim 10, additionally comprising the step of receiving notification that the securities have at least one physical restricted security has been converted.

12. (Currently Amended) A computer system for facilitating trading of a restricted security, the system comprising:

    a computer server accessible with a network access device via a communications network; and

    executable software stored on the server and executable on demand, the software operative with the server to cause the system to:

        transmit data relating to a restricted securities trade;

        receive a legal opinion document related to the trade;

        receive electronic authorization to proceed with the trade; and

        transmit a command to execute the trade.

13. (Original) The computer communications system of claim 12 wherein the network access device comprises a computer.

14. (Original) The computer communications system of claim 12 wherein the computer communication network conforms to the transmission control protocol/internet protocol.

15. (Original) The computer communications system of claim 12 wherein the communication network comprises an intranet.

16. (Currently Amended) Computer executable program code residing on a computer-readable medium, the program code comprising instructions for causing the computer to:

transmit data relating to a restricted securities trade;

receive a Legal Opinion related to the trade;

receive electronic authorization to proceed with the trade; and

transmit a command to execute the trade.

17. (Currently Amended) A method of interacting with a network access device in order to complete an online transaction, the method comprising the steps of:

initiating interaction with a host computer via a communications network;

transmitting data related to a trade involving a restricted security;

receiving documentation related to the trade of the restricted security,

wherein the received documents include at least a legal opinion indicating whether or not the trade restrictions have been satisfied; and

transmitting electronic authorization to conduct the trade.

18. (Original) The method of claim 17 additionally comprising the step of viewing a data stream content via a graphical user interface.

19. (Canceled)
20. (Original) The method of claim 17 wherein the generated documentation comprises a broker's letter.
21. (Original) The method of claim 17 wherein the generated documentation comprises a seller's letter.
22. (Currently Amended) A computer signal embodied in a digital data stream comprising data relating to restricted security trading, wherein the computer signal is generated by a method comprising the steps of:
  - transmit data relating to a restricted securities trade;
  - receive a legal opinion document related to the trade;
  - receive electronic authorization to proceed with the trade; and
  - transmit a command to execute the trade.
23. (Original) A computer data signal as in claim 22 wherein the signal generated adheres to the transmission control protocol/internet protocol.